

06/27/03  
16088 U.S. PTO

Attorney Docket No. VOS-14 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Leszek Wojnowski, Michael Haberl and  
Elisabeth Hustert

For : IDENTIFICATION OF THE GENETIC DETERMINANTS  
OF THE POLYMORPHIC CYP3A5 EXPRESSION

21909 U.S. PTO  
10/607596  
06/27/03

EXPRESS MAIL CERTIFICATION

Express Mail mailing label number: EV 133105695 US

Date of Deposit: June 27, 2003

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Commissioner for Patents, Mail Stop PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Lillian Garcia

Commissioner for Patents New York, New York  
Mail Stop PATENT APPLICATION June 27, 2003  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR RULE 53(b)  
CONTINUING PATENT APPLICATION

Sir:

This is a request for filing a: [X] continuation,  
[ ] divisional, application of pending prior Application No.  
PCT/EP01/15290, filed December 21, 2001.

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] unexecuted  
declaration and power of attorney; [X] Application Data  
Sheet; [X] Preliminary Amendment; [X] Statements in Support  
of Sequence Listing Under 37 C.F.R. § 1.821; [X] Paper copy

12/03/2003 SSANDARA 00000003 10607596

01 FC:1202

504.00 OP

Repln. Ref: 12/03/2003 SSANDARA 0009543300  
DAW:061075 Name/Number:10607596  
FC: 9204 \$18.00 CR

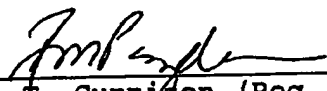
Adjustment date: 12/03/2003 SSANDARA 10607596 -522.00 OP  
11/28/2003 SSANDARA 00000009  
01 FC:1202

The filing fee has been calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA	RATE	FEE
Basic Fee					\$ 750.00
Total Claims	46	- 20 =	26	X \$ 18 =	\$ 468.00
Independent Claims	3	- 3 =	0	X \$ 84 =	\$ .00
A Multiple Dependent Claim				+ \$280 =	\$ 280.00
				TOTAL	\$1,498.00

- [X] A check in the amount of \$1,498.00 in payment of the filing fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

  
 Jane T. Gunnison (Reg. No. 38,479)  
 Attorney for Applicants  
 R. Minako Pazdera (Reg. No. 46,984)  
 Shawn-Marie Mayrand (Reg. No. 48,986)  
 Agents for Applicants  
 FISH & NEAVE  
 Customer No. 1473  
 1251 Avenue of the Americas  
 New York, New York 10020-1105  
 Tel.: (212) 596-9000  
 Fax: (212) 596-9090

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. HARR0032-101

First Inventor Lawrence S. Young et al.

Title GENE EXPRESSION USING T CELL FACTOR RESPONSIVE ELEMENT

Express Mail Label No. EV147 612 493 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages )  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets )
5. Oath or Declaration (Total Sheets )
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Reference To Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement (when there is an assignee) ☒ Copy Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) Were Received in Prior Application Serial No. 09/798,128, Filed March 21, 2001 (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/798,128, Filed: March 21, 2001, which claims priority under 35 U.S.C. § 119(a)-(d) of United Kingdom Application No. 0005099.7, filed March 2, 2000 and also claims the benefit under 35 U.S.C. § 119(e) of U.S. Application Serial No. 60/187,465, filed March 8, 2000, and claims benefit under 35 U.S.C. § 119(a)-(d) of PCT Application No. PCT/GB01/00856, filed March 1, 2001, each of which is incorporated by reference herein in their entireties.

Prior application information: Examiner Scott D. Priebe

Art Unit: 1632

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

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Name

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PATENT TRADEMARK OFFICE

Address

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State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Doreen Yalko Trujillo

Registration No. (Attorney/Agent)

35,719

Signature

Doreen Yalko Trujillo

Date

June 26, 2003

EV147612493US

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06/26/03